



JFW/1538

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

18

Application Number

09/935,995

Filing Date

August 23, 2001

First Named Inventor

Richard E. Smalley et al.

Art Unit

1538

Examiner Name

Hoa T. Le

Attorney Docket Number

11321-P014US

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/  
Incomplete ApplicationReply to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional ApplicationPower of Attorney, Revocation  
Change of Correspondence Address

Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_



Landscape Table on CD

Remarks



After Allowance Communication to TC

Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify  
below):

1) Return Postcard

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Winstead Sechrest &amp; Minick P.C.

Signature

Printed name

Ross Spencer Garsson

Date

December 9, 2005

Reg. No.

38,150

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Beatrice Zepeda

Date

December 9, 2005

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ATTORNEY DOCKET NO.  
11321-P014US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard E. Smalley *et al.*

Group Art Unit: 1773

Serial No.: 09/935,995

Filed: August 23, 2001

Confirmation No: 1538

Examiner: Hoa T. Le

Title: POLYMER-WRAPPED SINGLE WALL CARBON  
NANOTUBES

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
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*Beatrice Zepeda*

**AMENDMENT UNDER 37 C.F.R. § 1.111**

This is in response to the Office Action having a mailing date of September 9, 2005, ("Office Action"), with a three-month shortened statutory period for response set to expire on December 9, 2005. Please amend the above-identified Application as follows:

**Amendments to the Claims** begin on page 2 of this paper.

**Remarks/Arguments** begin on page 6 of this paper.